

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mangou Sawasaki

Serial No.: 10/720,706

Conf. No.: 5369

Filed: 11/24/2003

For: SUBSTRATE FOR LIQUID CRYSTAL DISPLAY,  
LIQUID CRYSTAL DISPLAY HAVING THE  
SAME AND METHOD OF MANUFACTURING  
THE SAME

Art Unit: 2871

Examiner: Nguyen, Dung T.

I hereby certify that this paper is being deposited with the  
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date.

4/10/07

Date

F-CLASS.WCM

Appr. February 20, 1998

Registration No. 41,760

Attorney for Applicant(s)

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

04/13/2007 HDESTA1 00000002 10720706  
01 FC:1806

180.00 0P

This IDS is submitted under 37 C.F.R. §1.97(d), after the mail date of either a Final Office Action or a Notice of Allowance (whichever occurs first), with both:

- (a) a statement under 37 C.F.R. §1.97(e); and
- (b) the \$180.00 fee under 37 C.F.R. §1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of foreign patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

Statement Under 37 C.F.R. §1.97(e):

(X) The enclosed references were cited in a Communication issued by the **Japanese** Patent Office dated January 23, 2007 (copy enclosed, including English translation). Applicant(s) hereby certify that each item of information cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

( ) Applicant(s) hereby certify that no item of information cited on the enclosed Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information cited on the enclosed Form PTO-1449 was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Enclosed is a check for \$180.00 to cover the fee as set forth in 37 C.F.R. §1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

April 10, 2007  
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Respectfully submitted,

GREER, BURNS &amp; CRAIN, LTD.

By:

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04/13/2007 HDESTA1 00000002 10720706



Form PTO-1449 U.S. Department of Commerce (Rev. 8-88) Patent and Trademark Office  INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Attorney Docket No.: 1324.68723	Serial No.: 10/720,706
	Applicant: Manabu Sawasaki	
	Filing Date: November 24, 2003	Group: 2871

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	JP2001-083518	Mar. 30, 2001	Japan			Abs.	
	JP2001-083520	Mar. 30, 2001	Japan			Abs.	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner

Date Considered

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.